FORM PTO-1390 (Modified)
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(REV 12-2004)

	TRA	NSMITTAL LETTER TO THE UNITED STATES	ATTORNEY'S DOCKET NUMBER											
		ESIGNATED/ELECTED OFFICE (DO/EO/US)	029860-0145											
		DNCERNING A FILING UNDER 35 U.S.C. 371	U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/573,753											
		NAL APPLICATION NO. INTERNATIONAL FILING DATE 9/30/2004	PRIORITY DATE CLAIMED 9/30/2003											
	E OF IN													
IN VIVO EFFICACY OF NY-ESO-1 PLUS ADJUVANT APPLICANT(S) FOR DO/EO/US														
CEBON, et al.														
App	Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:													
1.		This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.												
2.	$\boxtimes$	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.												
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.												
4.		The US has been elected (Article 31).												
5.		A copy of the International Application as filed (35 U.S.C. 371(c)(2))												
		is attached hereto (required only if not communicated by the International Bureau).												
	has been communicated by the International Bureau.													
		is not required, as the application was filed in the United States Receiving Office (RO/US)												
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  is attached hereto.													
		has been previously submitted under 35 U.S.C. 154(d)(4).												
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  are attached hereto (required only if not transmitted by the International Bureau).  have been communicated by the International Bureau.  have not been made; however, the time limit for making such amendments has NOT expired.  have not been made and will not be made.												
8.		An English language translation of the amendments to the claims under P	PCT Article 19 (35 U.S.C. 371(c)(3)).											
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).												
10.		An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).												
Iten	ns 11 to 2	0 below concern other document(s) or information included:												
11.		An Information Disclosure Statement under 37 CFR 1.97 and 1.98.												
12.	$\boxtimes$	An assignment document for recording. A separate cover sheet in compli	iance with 37 CFR 3.28 and 3.31 is included.											
13.		A preliminary amendment.												
14.	$\boxtimes$	An Application Data Sheet under 37 CFR 1.76.												
15.		A substitute specification.												
16.		A power of attorney and/or change of address letter.												
17.	. 🗆	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825												
18.		A second copy of the published international application under 35 U.S.C. $\boldsymbol{1}$	54(d)(4).											
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).												
20.		Other items or information												

	U.S. APPLICATION NO. (If known, see 37 CFR. 1.5) INTERNATIONAL APPLICATION NO. 10/573,753 PCT/US2004/032147				ATTORNEY'S DOCKET NUMBER 029860-0145							
		The following	ng fees have	been sul	omitted:	-						
.	21. 🔲	Basic nati				\$:	300		\$	0.00		
	Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)  All other situations  \$200						sfy	\$	0.00			
	23.	Search fe										
	Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100											
	International Search Report prepared and provided to the Office \$400							- 1		ı		
	All other situations \$500								\$	0.00		
	TOTAL OF ABOVE 21, 22 and 23 =								\$	0.00		
	Additional fee for specification and drawings filed in paper over 100 sheets (exclusequence listing or computer program listing filed in an electronic medium). The is \$ for each additional 50 sheets of paper or fraction thereof.							fee				
	Total Shee	ets Extra	sheets		r of each additional 50 or f (round <b>up</b> to a whole num		RATE					
	33 - 10		/50 =		0		x \$25		\$	0.00		
			ty date (37 C	FR 1.492	· · · · · · · · · · · · · · · · · · ·			m the	\$	130.00		
	CLA	IMS	NUMBER	FILED	NUMBER EXTRA	F	ATE		\$			
	Total (	Claims	33	- 20 =	13	x\$		50.00	\$	0.00		
	Independe	ent Claims	5	- 3 =	2	x \$	20	00.00	\$	0.00		
	MULTIPLE	DEPEND	ENT CLAIM	(S) (if app	licable)	+ \$	36	60.00	\$			
			· · · · · · · · · · · · · · · · · · ·		TOTAL OF ABOV	E CALCU	LATIO	NS =	\$	130.00		
		Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.							\$	0.00		
				•		SI	JBTOT	TAL =	\$	130.00		
		•		-	English translation later th	an 30 mo	nths		\$			
			TOTA	TOTAL NATIONAL FEE =			\$	130.00				
		ecording the enclosed assignment (37 CFR 1.21(h)). The assignment must be anied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property					\$	40.00				
08/08/2006	MKAYPAGH	00000178	10573753		TOTAL	FEES EN	ICLOS	ED =	\$	170.00		
01 FC:1617	MKAYPAGH 00000178 10573753 TOTAL FEES ENCLOSED =								Amount to be refunded:			
										charged:		
	a. 🗌	A check i	n the amou	int of 0.C	0 to cover the above fe	es is end	closed.	•				
	b. Please charge my Deposit Account No. <u>19-0741</u> in the amount of 0.00 to cover the above fees. A duplicate copy of this sheet is enclosed.									S.		
	c.   The Commissioner is hereby authorized to charge any additional fees which may be required overpayment to Deposit Account No. 19-0741. A duplicate copy of this sheet is enclosed.								ed, or credit any			
d.  Fees are to be charge to a credit card.  WARNING: Information on this form may be cinformation should not be included on this form. Provide credit card information a 2038.								nay become publ mation and autho	ic. Credit card rization on PTO-			
	NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to 1.137(a) or (b)) must be filed and granted to restore the International Application to pending state.									revive (37 CFR us).		
	SEND A	L CORR	ESPONDE			4	<b>→</b>	$\frac{1}{2}$				
	Foley & Lardner LLP					NATU	URE					
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